

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Robert G. Thompson  
Serial No.: Unknown  
Filed: Herewith  
Title: AUXILIARY POWER UNIT CONTROL METHOD AND SYSTEM

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97 AND 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Provided herewith are copies of patents and publications as listed in the attached Form PTO-1449. None of these references discloses the invention claimed in the subject application.

Respectfully submitted,

**CARLSON, GASKEY & OLDS, P.C.**

A handwritten signature in black ink, appearing to read 'Anna M. Shih', is written over a horizontal line.

Dated: July 23, 2003

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Substitute for form 1449A/PTO		<b>Compl te if Kn wn</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	
		Filing Date	Herewith
		First Named Inventor	Robert G. Thompson
		Art Unit	
		Examiner Name	
		Attorney Docket Number	67,036-027
Sheet 2	of 2		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US- 5,990,575	11-23-1999	Flaughner	
		US- 2002/0022542	02-21-2002	Pfleger, et al.	
		US- 2002/0074863	06-20-2002	Turvey	
		US- 6,278,262	08-21-2001	Ulyott	
		US- 2002/0126518	09-12-2002	Lazarovich	
		US- 6,291,911	09-18-2001	Dunk, et al.	
		US- 2002/0138158	09-26-2002	Landreth	
		US- 6,465,912	10-15-2002	Nakamura, et al.	
		US- 6,476,571	11-05-2002	Sasaki	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

Examiner Signature	Date Considered
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Substitute for form 1449A/PTO

*(use as many sheets as necessary)*

Sheet 1 of 2

## Application Number

**Filing Date**

Herewith

**First Named Inventor**

Robert G. Thompson

### Art Unit

**Examiner Name** \_\_\_\_\_

Attorney Docket Number 67,036-027

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US- 3,665,495	05-23-1972	Carter, et al.	
		US- 4,707,142	11-17-1987	Baker, et al.	
		US- 4,106,085	08-08-1978	Demarest, et al.	
		US- 4,728,806	03-01-1988	Baker, et al.	
		US- 4,766,327	08-23-1988	Fox	
		US- 4,849,923	07-18-1989	Samudrala et al.	
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		US- 5,276,661	01-04-1994	Beg	
		US- 5,315,533	05-24-1994	Stich, et al.	
		US- 5,317,500	05-31-1994	Iden, et al.	
		US- 5,555,151	09-10-1996	Baker, et al.	
		US- 5,606,244	02-25-1997	Migdal	
		US- 5,729,059	03-17-1998	Kilroy, et al.	
		US- 5,784,240	07-21-1998	Przywozny	
		US- 5,801,937	09-01-1998	Gold, et al.	
		US- 5,844,329	12-01-1998	Bailey, et al.	
		US- 5,864,457	01-26-1999	Kates, et al.	
		US- 5,553,449	09-10-1996	Rodgers, et al.	

[illegible]

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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